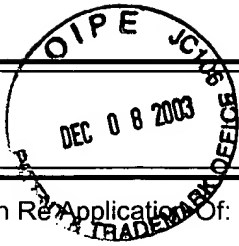


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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No. 12-12-03  
112857-287

In Re Application Of: Makoto Yamada et al.

Serial No.  
09/945,476

Filing Date  
08/30/2001

Examiner

Group Art Unit  
2164

Title: **INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING METHOD, ELECTRONIC MONEY SERVICE PROVIDING SYSTEM, AND RECORDING MEDIUM**

TO THE COMMISSIONER FOR PATENTS:

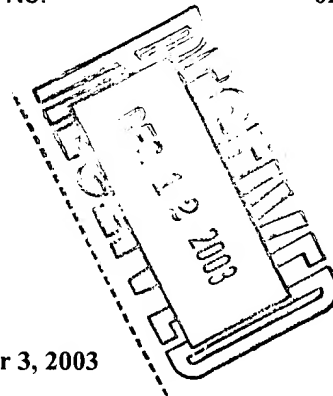
Transmitted herewith is:

**Transmittal of Information Disclosure Statement (Duplicate); Information Disclosure Statement (1 Page); PTO Form 1449; Cited References (3 References); and Return Receipt Postcard.**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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- ☒ Charge any additional fee required.

02-1818



  
Signature

Dated: December 3, 2003

Jeffrey H. Canfield (Req. No. 38,404)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Telephone: (312) 807-4233

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Signature of Person Mailing Correspondence

Renee Street

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CC:

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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

DEC 08 2003

Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112857-287

In Re Application of Makoto Yamada et al.

Serial No.

09/945,476

Filing Date

08/30/2000

Examiner

Group Art Unit

2164

Title: INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING METHOD, ELECTRONIC MONEY SERVICE PROVIDING SYSTEM, AND RECORDING MEDIUM

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-287

In Re Application: Makoto Yamada et al.

Serial No.

09/945,476

Filing Date

08/30/2000

Examiner

Group Art Unit

2164

INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING METHOD,  
SERVICE PROVIDING SYSTEM, AND RECORDING MEDIUM

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
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### Certificate of Transmission by Facsimile\*

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Renee Street

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Jeffrey H. Canfield*  
Signature

Dated: December 3, 2003

Jeffrey H. Canfield (Req. No. 38,404)

Bell, Boyd & Lloyd LLC

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Chicago, Illinois 60690-1135

Telephone: (312) 807-4233

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Makoto Yamada et al.  
Appl. No.: 09/945,476  
Conf. No.: 3220  
Filed: August 30, 2001  
Title: INFORMATION PROCESSING SYSTEM, INFORMATION PROCESSING  
METHOD, ELECTRONIC MONEY SERVICE PROVIDING SYSTEM, AND  
RECORDING MEDIUM  
Art Unit: 2164  
Examiner:  
Docket No.: 112857-287

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**FOREIGN PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------|----------------|
| 2000-003399         | 01/07/2000  | Japan          |
| 2000-020625         | 02/21/2000  | Japan          |
| 2000-231595         | 08/22/2000  | Japan          |

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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

Jeffrey H. Canfield

Reg. No.38,404

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4233

Dated: December 3, 2003

|   |                                   |                               |
|---|-----------------------------------|-------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><b>IS AN APPLICATION</b><br>(Use several sheets if necessary)<br>DEC 08 2003<br>PTO Form 1449 | Atty Docket No.<br>112857-287     | Application No.<br>09/945,476 |
|   | Applicant<br>Makoto Yamada et al. |                               |
|   | Filing Date<br>August 30, 2001    | Group<br>2164                 |

| U.S. PATENT DOCUMENTS  |                    |                     |          |       |          |                                  |
|------------------------|--------------------|---------------------|----------|-------|----------|----------------------------------|
| Examiner's<br>Initials | Document<br>Number | Publication<br>Date | Inventor | Class | Subclass | Filing Date<br>If<br>Appropriate |
|                        |                    |                     |          |       |          |                                  |
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| FOREIGN PATENT DOCUMENTS |                    |                     |         |       |          |             |    |
|--------------------------|--------------------|---------------------|---------|-------|----------|-------------|----|
| Examiner's<br>Initials   | Document<br>Number | Publication<br>Date | Country | Class | Subclass | Translation |    |
|                          |                    |                     |         |       |          | Yes         | No |
|                          | 2000-003399        | 01/07/2000          | Japan   |       |          |             |    |
|                          | 2000-020625        | 02/21/2000          | Japan   |       |          |             |    |
|                          | 2000-231595        | 08/22/2000          | Japan   |       |          |             |    |
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| Examiner's<br>Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
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| Examiner:  | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |